		ARTICLE IN PRI	ESS	<u>.</u>
	Available o	online at www.sciencedir	ect.com	
		ScienceDire	ct	<b>PHYSICA</b>
ELSEVIER	Physica A I (IIII) III-III			
				www.elsevier.com/locate/phys
C 1	. 10 1	1	, <b>.</b>	1 1 • 1
Nynchro	nized flow and	hhogo conorc	1100010010	
Syneme				gle-lane mixed
Synemo	mzed now and	traffic flow		gie-lane mixed
Synemo	Ruili Wang <sup>a,*</sup> , Ru	traffic flow	ng Wu <sup>b</sup> , Mingz	he Liu <sup>a</sup>
Synemo	Ruili Wang <sup>a,*</sup> , Ru <sup>a</sup> Institute of Information <sup>b</sup> School of Engineering Scient	traffic flow i Jiang <sup>b</sup> , Qing-So Sciences and Technology, Marce, University of Science and	ng Wu <sup>b</sup> , Mingz assey University, New Ze Technology of China, He	gle-lane mixed he Liu <sup>a</sup> ealand efei, China
Synemo	Ruili Wang <sup>a,*</sup> , Ru <sup>a</sup> Institute of Information <sup>b</sup> School of Engineering Scien Received 29 Ma	traffic flow ti Jiang <sup>b</sup> , Qing-So Sciences and Technology, M Coce, University of Science and Sy 2006; received in revised for	ng Wu <sup>b</sup> , Mingz assey University, New Ze Technology of China, He orm 10 November 2006	gle-lane mixed he Liu <sup>a</sup> ealand efei, China
Synemo	Ruili Wang <sup>a,*</sup> , Ru <sup>a</sup> Institute of Information <sup>b</sup> School of Engineering Scien Received 29 Ma	traffic flow i Jiang <sup>b</sup> , Qing-So a Sciences and Technology, M ace, University of Science and by 2006; received in revised fo	ng Wu <sup>b</sup> , Mingz assey University, New Ze Technology of China, He orm 10 November 2006	gle-lane mixed he Liu <sup>a</sup> ealand efei, China
Abstract	Ruili Wang <sup>a,*</sup> , Ru <sup>a</sup> Institute of Information <sup>b</sup> School of Engineering Scien Received 29 Ma	traffic flow ti Jiang <sup>b</sup> , Qing-So Sciences and Technology, M ice, University of Science and y 2006; received in revised fo	ng Wu <sup>b</sup> , Mingz Massey University, New Ze Technology of China, He orm 10 November 2006	gie-lane mixed he Liu <sup>a</sup> ealand efei, China

flux-density (occupancy) curves of homogeneous flow R = 0 (all vehicles are slow vehicles) and R = 1 (all vehicles are fast vehicles). However, unexpectedly, the velocity-density (occupancy) curve of heterogeneous flow does not. We also found that cross-correlation function (CCF) analysis shows that heterogeneous flow has almost the same strong coupling as

homogeneous flow. In other words, when traffic is in free flow or jams, the value of CCF is approximate to be 1.0, while the value is about 0.1 in synchronized flow.

© 2006 Elsevier B.V. All rights reserved.

29 Keywords: Cellular automata; Synchronized flow; Traffic flow modeling; Mixed traffic

31

#### 33 1. Introduction

35 Vehicular traffic flow has attracted attention of statistical and nonlinear physical communities since the early 1990s. Various traffic models [1,2] have been presented. Among them, cellular automata (CA) have been

37 widely used in modeling traffic flow on highways and in urban networks [3–12]. The reason for this is that CA are capable of modeling individual vehicle interactions and recapturing the macroscopic properties of traffic

39 flow by aggregating the parameters obtained from the simulation. The first stochastic traffic CA model for a single-lane highway was proposed by Nagel and Schreckenberg

41 (NaSch model) [3], which is able to qualitatively reproduce some known traffic features (e.g., congestion, the flow-density relation and stop-and-go wave). Later, the NaSch model has been extended and different models

43 have been developed. These models modified the acceleration rule [4,5], randomization rule [5–7] or deceleration rule [5,7–9] of the NaSch model. With these extensions, CA models are capable of simulating

45 traffic flow more realistically. However, for the purpose of simplicity, only homogeneous traffic flow (the traffic flow contains only one type of vehicle) is simulated in most previous CA models.

47

49

\*Corresponding author.

E-mail address: r.wang@massey.ac.nz (R. Wang).

51 0378-4371/\$ - see front matter © 2006 Elsevier B.V. All rights reserved. doi:10.1016/j.physa.2006.11.056

Please cite this article as: R. Wang, et al., Synchronized flow and phase separations in single-lane mixed traffic flow, Physica A (2006), doi:10.1016/j.physa.2006.11.056

# **ARTICLE IN PRESS**

2

*R.* Wang et al. / Physica  $A \parallel (\blacksquare \blacksquare) \blacksquare \blacksquare \blacksquare$ 

 In the real world, traffic flow is normally a mix of several kinds of vehicles, e.g., cars, buses, vans and trucks. These vehicles with different dimensional and dynamic properties form heterogeneous traffic flow, which has
 been studied in recent years [13–18]. It is shown that mixed traffic flow can lead to platoon formations at low densities in single-lane traffic [13–18]. A platoon is a number of vehicles traveling together as a group, either
 voluntarily or involuntarily because of signal control, geometrics or other factors [19].

Treiber and Helbing [18] suggested a heterogeneous traffic flow to explain the phenomenon of the wide
scattering (the empirical data points are distributed over a two-dimensional region) in congested states. The actual proportions of cars and trucks were used in their macroscopic traffic model. The simulation results
show a high level of agreement with Dutch highway data. Other research indicated that the wide scattering might be caused by the anticipation effects of several vehicles ahead [20].

- 11 In addition, the mixed single-lane traffic has also been studied using the intelligent driving model (IDM) [21,22]. The similar fundamental diagram with 70% cars and 30% trucks is generated (see Fig. 17 in Ref. [21]
- 13 and Fig. 19 in Ref. [22]). The effects of mixed vehicle lengths on traffic flow have also been studied in an asymmetric exclusion model [23]. Based on this model, the authors concluded that the maximal flux decreases
- 15 when increasing the number of long vehicles. However, in the above-mentioned simulations, only a fixed proportion of cars and trucks is used. The effects of various car-truck proportions should be further studied, 17 which is necessary for traffic and transportation management. Moreover, the formation of velocity under
- different car-truck proportions has not been reported so far.
   Describe Kennes et al. [24] investigated methods and the second and
- 19 Recently, Kerner et al. [24] investigated spatial-temporal structures of traffic flow and proposed a threephase traffic flow theory, which postulates that there are three phases in traffic flow: free flow, synchronized flow and wide moving jams. Free flow and wide moving jams seem to be intuitively clear. Synchronized flow is
- mostly observed near on ramps or bottlenecks and characterized by a considerably high flux without any clear
- 23 density-flux relation [24,25]. Thus, the study of synchronized flow has attracted much attention [5,7,10,20,25-28] in recent years. Many interesting phenomena and useful simulation results have been disclosed.
- Kerner et al. [11] developed a CA model (KKW model) to simulate synchronized flow. Later, Kerner and
   Klenov [29] extended their KKW model [11] to two-lane heterogeneous traffic to investigate the effects of a variety of driver behavioral characteristics and vehicle parameters at on-ramps. The extended KKW model
- 29 can reproduce the vehicle lane separation effect (fast vehicles use mostly the left (passing) lane, whereas other vehicles use the right lane) in free flow. In the KKW model (as well as its extensions), drivers are assumed to
- always attempt to keep the same speed with preceding vehicles within synchronization distances in order to reproduce synchronized flow [25]. In reality, it is difficult for a following driver to accurately predict the
- velocity of the preceding vehicle [30] and car-following is only one of many tasks that drivers perform simultaneously [31]. Thus, a certain range of velocity fluctuations of the following vehicle should be acceptable.
- Ref. [32] investigated phase transition from free flow to synchronized flow in a multi-lane traffic. The authors introduced a variable h, called order parameter, to reflect degree of the internal interaction of vehicles along different lanes. In particular, a large value of the order parameter h characters synchronized flow,
- whereas free flow and the jam match its small values as weak mutual interactions (i.e., lane changing). In the cross-correlation function (CCF) between flux and density of traffic flow [5,33,34], synchronized flow is
- 41 characterized by a small value of correlation coefficient  $c_{k,J}$ , free flow and the jams by a large value. Thus, the relation between order parameter *h* and CCF can be roughly represented by  $c_{k,J} \approx 1 h$ .
- 43 In a recent publication [35], Huang adopted a simple CA model [36] to analyze the three different traffic phases in traffic flow on a homogeneous highway (i.e., without on ramps or bottlenecks). The simulation results have shown that these traffic phases can be reproduced on a homogeneous highway. That is, it is
- feasible to understand these traffic phases just from the point of view of vehicular interactions.
  In this paper, we investigate synchronized flow and phase separations in single-lane heterogeneous traffic flow. Single-lane highways are very common in the countries like Australia, Ireland and New Zealand, because
- 49 of financial and/or geographic reasons. These single-lane highways may equip short passing lanes for every 10 km or longer. Sometimes, a single-lane highway does not have a passing lane for up to 20 km. These
- 51 stretches of single-lane highways may be just segments of an important and busy highway (e.g., several stretches of State Highway (SH) 1 and most part of SH 3 in the North Island of New Zealand). Because of

### **ARTICLE IN PRESS**

R. Wang et al. / Physica  $A \blacksquare (\blacksquare\blacksquare) \blacksquare\blacksquare\blacksquare$ 

1 geographic reasons, passing using opposite-direction lanes is often forbidden. Especially, when a fast car follows a long, slow truck, passing is impossible on a busy highway. Thus, in this paper, passing using the

3 opposite-direction lanes has not been considered. It can be seen that it is necessary to conduct the research in synchronized flow and phase separations of heterogeneous traffic flow on a *long single-lane* highway, which

5 has not been reported in the literature, to the best of our knowledge.

To this end, we use the Jiang–Wu model [5] with the modification of acceleration rules. The Jiang–Wu model was derived from the braking-light (BL) model [7]. This paper is organized as follows. In Section 2, the

single-lane Jiang–Wu model is described and the modification of acceleration rules is presented. In Section 3,
simulation results and discussions of homogeneous and heterogeneous traffic systems are presented. The conclusion is given in Section 4.

11

# **2. The model**

We first briefly describe the Jiang–Wu model (see [5] for a detailed explanation). Our modifications are then presented. The parallel update rules of the Jiang–Wu model are as follows:

(2)

<sup>17</sup> (1) Determination of the randomization parameter p:

 $v_n(t+1) = \min(v_n(t) + 1, v_{max})$ 

19 
$$p = p(v_n(t), b_{n+1}(t), t_h, t_s)$$

27

$$p(v_n(t), b_{n+1}(t), t_h, t_s) = \begin{cases} p_b : & \text{if } b_{n+1} = 1 & \text{and } t_h < t_s, \\ p_0 : & \text{if } v_n = 0 & \text{and } t_{st,n} \ge t_c, \\ p_d : & \text{in all other case.} \end{cases}$$

25 (2) Acceleration:

If 
$$(b_{n+1}(t) = 0 \text{ or } t_h \ge t_s)$$
 and  $(v_n(t) > 0)$  then  
 $v_n(t+1) = \min(v_n(t) + 2, v_{max})$  (1)

else if  $(v_n(t) = 0)$  then

else

31

 $v_n(t+1) = v_n(t).$ 

35

33

51

(3) Braking rule:  $v_n(t+1) = \min(d_n^{eff}, v_n(t+1)).$ 37 (4) Randomization and braking 39 If (r < p) then  $v_n(t+1) = \max(v_n(t+1) - 1, 0).$ 41 (5) The determination of  $b_n(t+1)$ If  $(v_n(t+1) < v_n(t))$  then  $b_n(t+1) = 1$ . If  $(v_n(t+1) > v_n(t))$  then  $b_n(t+1) = 0$ . 43 If  $(v_n(t+1) = v_n(t))$  then  $b_n(t+1) = b_n(t)$ . 45 (6) The determination of  $t_{st,n}$ If  $(v_n(t+1) = 0)$  then  $t_{st,n} = t_{st,n} + 1$ . 47 If  $(v_n(t+1) > 0)$  then  $t_{st,n} = 0$ . (7) The determination of  $t_{f,n}$ 49 If  $(v_n(t+1) > v_c)$  then  $t_{f,n} = t_{f,n} + 1$ .

If 
$$(v_n(t+1) < v_c)$$
 then  $t_{f,n} = 0$ .  
(8) Vehicle motion

$$x_n(t+1) = x_n(t) + v_n(t+1)$$

Please cite this article as: R. Wang, et al., Synchronized flow and phase separations in single-lane mixed traffic flow, Physica A (2006), doi:10.1016/j.physa.2006.11.056

3

### ICLE IN PRESS

R. Wang et al. / Physica A ■ (■■■) ■■■

Here,  $x_n$  and  $v_n$  are the position and velocity of vehicle *n* (vehicle *n* follows vehicle n + 1);  $d_n$  is the gap between vehicle n and its preceding vehicle n + 1;  $b_n$  is the status of the brake light ( $b_n(t) = 1$  or 0 means on or off); 3  $t_h = dn/v_n(t)$  is the time steps needed to reach the position of the preceding vehicle;  $t_s = \min(v_n(t), h)$  is the 5 velocity-dependent time steps to describe the interaction zone (where h is the braking range);  $d_n^{eff} =$  $d_n + \max(v_{anti} - gap_{safety}, 0)$  is the effective distance, where  $v_{anti} = \min(d_{n+1}, v_{n+1})$  is the anticipative velocity of 7 the preceding vehicle in the next time step;  $gap_{safety}$  is used to adjust the effectiveness of anticipation;  $t_{st,n}$ denotes the time vehicle n stops;  $t_{f,n}$  is the time that vehicle n remains in high speed;  $v_{max}$  denotes the maximum 9

velocity; r is a random number uniformly distributed between 0 and 1.

- The Jiang–Wu model can successfully describe light and heavy (characterized by large density and slow 11 velocity with much fluctuation) synchronized flow in homogeneous traffic. In particular, the model can describe the so-called *comfortable braking process*. However, the model has not simulated the acceleration
- process properly. In the Jiang–Wu model, the acceleration process has been described as that a vehicle can 13 speed up quickly as long as it is not stopped.
- 15 However, a high acceleration is normally found when a vehicle is in low speed, while a low acceleration is normally found when a vehicle is in high speed [38]. This is understandable. When a vehicle is in low speed
- 17 (normally in a low gear), the force generated to move the car forward can be greater then the force at high speed. Thus, the acceleration can be greater.
- 19 In this paper, we thus modify the acceleration rules (Eqs. (1) and (2)) in the Jiang-Wu [5] model. The rest of the model is the same as the Jiang–Wu model. We modify the acceleration rules as follows:

21 If  $(b_{n+1}(t) = 0 \text{ or } t_h \ge t_s)$  and  $(v_n(t) > \frac{1}{2}v_{max})$  then

23 
$$v_n(t+1) = \min(v_n(t)+1, v_{max})$$
  
else if  $(v_n(t) < \frac{1}{2}v_{max})$  then

25 
$$v_n(t+1) = \min(v_n(t) + 2, v_{max})$$
  
else  $v_n(t+1) = v_n(t)$ .

27 Basically, we assume the acceleration is high (i.e., equal to 2) when the speed has not reached half of the highest speed, while the acceleration is low (i.e., equal to 1) when the speed is higher than half the highest 29 speed. This is the difference between our model and Jiang-Wu model. This assumption is consistent with our field observations and also agrees with [38]. In particular, as shown in Section 3.2, the cross-correlation 31 analysis of our model agrees better with cross-correlation analysis of the empirical single-vehicle data in Ref. [33].

33

1

#### 3. Simulation results and discussion 35

As our research is to investigate synchronized flow and phase separations in mixed traffic flow on long 37 single-lane highways (described in Section 1), the periodic boundary condition is used in our computer simulations. The system size is set to  $L = 10\,000$ . One time step corresponds to 1 s. Each cell corresponds to a 39 length of 1.5 m on a real road. Single-lane heterogeneous traffic flow is considered, which contains two kinds of vehicles: fast (e.g., cars) and slow (e.g., trucks) vehicles. The lengths of cars and trucks are set to 5 and 10 41 cells, respectively. R and R<sub>t</sub> denote the proportions of cars and trucks to all vehicles (i.e.,  $R_t = 1 - R$ ). The maximum velocities of fast (cars) and slow (trucks) vehicles are assumed to be 20 cells (108 km/h) and 17 cells 43 (91.8 km/h), respectively. This assumption is based on the recommended (or legal) speed limits for cars and

trucks in New Zealand<sup>1</sup> and many other countries.

45 In this paper, we define a parameter of *occupancy* to represent the density of vehicles on a road. Normally, density is represented by the number of vehicles per kilometer in homogeneous traffic flow. However, the 47 density cannot be accurately described in the traffic conditions of heterogeneous flow when the lengths of vehicles are different. Here, we define density as occupancy k, which is represented as a proportion of the 49 number of occupied cells in the total number of cells.

<sup>51</sup> <sup>1</sup>The speed limit of cars is 100 km/h in New Zealand. However, police will not issue a ticket unless the speed is above 111 km/h. Thus, most drivers of cars tend to keep their speeds just below 110 km/h.

#### ARTICLE IN PRESS

*R. Wang et al.* / *Physica A* ■ (■■■) ■■■=



11

Fig. 1. (a) The fundamental diagram under different R and (b) the occupancy-velocity plot under different R. R is the proportion of fast vehicles to all vehicles.

In the simulations, the values of other parameters in our model are set to  $t_c = 10$ ,  $t_{c1} = 30$ ,  $v_c = 18$  for cars,  $v_c = 15$  for trucks,  $p_d = 0.1$ ,  $p_b = 0.94$ ,  $p_0 = 0.5$ , h = 6. If the leading vehicle is a truck, the following truck driver, as well as car driver, cannot see through other vehicles in front of them. We thus assume that  $gap_{safety} = 10$ . In other cases,  $gap_{safety} = 7$ .<sup>2</sup> Each simulation is carried out for 360 000 time steps (i.e., 100 h) after the relaxation of 20 000 time steps. In order to remove the influence of random initial conditions on traffic flow, we have repeatedly implemented each simulation 20 times. We use an averaged flux and velocity to measure the fundamental diagram. In the following simulations, when we consider the occupancy changes, the

occupancy increases with 2% increments.

#### 23 3.1. Fundamental diagrams

25

We examine homogeneous traffic flow first. Fig. 1(a) shows the diagram of flux versus occupancy under different R (R is the proportion of fast vehicles to all vehicles). Based on our simulation, we found that 27 flux-occupancy curves are almost the same when 0 < R < 1 as well as velocity-occupancy curves. Thus, we only show flux-occupancy and velocity-occupancy curves for R = 0.5. In Fig. 1(a), we can see that the 29 flux-occupancy curve of heterogeneous flow lies in between two flux-occupancy curves of homogeneous flow R = 0 (all are slow vehicles) and R = 1 (all are fast vehicles). When R = 0, there are only trucks on the road. 31 The maximum velocity of vehicles is 17; The maximum flux is about 1622 when the occupancy is 0.30. When 0 < R < 1, the flow is a mixture of cars and trucks. The flux of heterogeneous flow is larger than that of R = 033 due to the shorter dimension of cars. However, with the increase of occupancy (>0.7), the flux of heterogeneous flow is nearly the same as homogeneous flow. When R = 1, there are only cars on the road. In 35 this case, the maximum average velocity of the vehicles can reach up to 20; the maximum average flux is about 2034 vehicles per hour when the occupancy is 0.16. These results are consistent with Refs. [16,17,23]. The 37 results show that lower maximum velocity (17 cells) and longer dimension (10 cells) of vehicles can decrease the flux. 39

Fig. 1(b) shows the diagram of velocity versus occupancy for different R. It can be seen that the velocity-occupancy curve of heterogeneous flow does not lie in between two velocity-occupancy curves of homogeneous flow R = 0 (all are slow vehicles) and R = 1 (all are fast vehicles). This is different from the flux-occupancy curve in Fig. 1(a). In Fig. 1(b), when occupancy <0.16, traffic is free flow when R = 1 (all cars), thus the velocity is higher than that when R < 1. When R < 1, the velocity is nearly equal to the highest</li>

speed of slow vehicles. In other words, increasing or decreasing the number of trucks within a certain range does not influence traffic flow qualitatively. When the occupancy is lower (<0.16), we can see that slow

47 vehicles determine the speed of heterogeneous traffic flow. This is consistent with the empirical observations described in Ref. [17] and qualitative analysis discussed in Refs. [16,23].

Please cite this article as: R. Wang, et al., Synchronized flow and phase separations in single-lane mixed traffic flow, Physica A (2006), doi:10.1016/j.physa.2006.11.056

 <sup>49 &</sup>lt;sup>-2</sup>A real world driver will use a braking rate based on the premonitory comprehensive information of several cars in front, rather than only on the information of one vehicle in front. A corollary to this is that a driver of a small vehicle would be more cautious and allow more space if driving behind a huge size vehicle (e.g. a truck). Typically, the small following vehicle is unable to get any information about other vehicles in front in such situation.

### **ARTICLE IN PRESS**

R. Wang et al. / Physica A I (IIII) III-III

1 When occupancy is larger than 0.16, the velocity with R = 0 (all trucks) can keep a higher value than that with R > 0. With the same occupancy, the average spaces between vehicles will decrease as R increases. In 3 other words, when R = 0, there is more space between slow vehicles compared to mixed vehicles (0 < R < 1) or fast vehicles only (R = 1). Thus, with the same occupancy, there are three cases: (i) R = 0, larger space can

make slow vehicles drive with higher velocity (see Fig. 1(b)). (ii) R = 1, the average space between vehicles is the smallest compared to mixed and slow vehicles. As fast vehicles have the maximum desired speed than mixed and slow vehicles, stronger interactions between fast vehicles and with less space between vehicles lead to decreased velocity of fast vehicles. (iii) 0 < R < 1, when a car is following a truck, a larger safety distance

9  $(gap_{safety} = 10)$  is needed. Thus, the speed of the following is the same or less than the preceding. The velocity of vehicles is actually lower than both the velocity with R = 0 or 1.

13

6

#### 3.2. Cross-correlation analysis

15

37

The fundamental diagram can describe the general trend of traffic states. However, it is not sufficient to accurately identify the different types of traffic states (i.e., free flow, synchronized flow and wide moving jams) as indicated in Ref. [37]. A more clear description of traffic states can be obtained by the cross-correlation analysis suggested in Refs. [5,33,34].

We plotted the CCF between occupancy and flux averaging 20 times in order to remove the influence of

random initial conditions. Fig. 2(a) shows the cross correlation between flux and occupancy at low occupancy (k = 0.06). It can be seen that mixed flow (R = 0.8) has almost the same correlation in free-flow state as

homogeneous (R = 0 and 1), i.e.,  $c_{k,J} \approx 1$ . These cross-correlation results are consistent with cross-correlation analysis of the empirical single-vehicle data in Ref. [33]. However, the cross-correlation factor of homogeneous traffic is slightly more stable than that of heterogeneous traffic in Fig. 2(a). This can be explained as platoons

occur even when the mixed flow is in low occupancy.

Fig. 2(b) shows the cross correlation between flux and occupancy when k = 0.5. We find that the crosscorrelation fluctuates around 0.1, i.e.,  $c_{k,J} \approx 0.1$  for both heterogeneous and homogeneous traffic. The crosscorrelation factor of mixed traffic is slightly better than homogeneous traffic in Fig. 2(a). This is because the

interactions between vehicles in mixed traffic flow is less intensive when the vehicles are with lower velocity (see Fig. 1 (b)) and at high occupancy (k = 0.5).

In particular, for homogeneous traffic, we note that the cross-correlation factor of our model is slightly higher than in that of the Jiang–Wu model [5]. This implies that the vehicles in our model interact stronger than those in the Jiang–Wu model. This is because we have considered a higher acceleration in low speed. Our results thus agree better with cross-correlation analysis of the empirical single-vehicle data in Ref. [33].



51 Fig. 2. (Color online) Cross-correlation functions between flux and occupancy plotting at different *R*: (a) occupancy k = 0.06 and (b) occupancy k = 0.50.

Please cite this article as: R. Wang, et al., Synchronized flow and phase separations in single-lane mixed traffic flow, Physica A (2006), doi:10.1016/j.physa.2006.11.056

## ARTICLE IN PRESS

R. Wang et al. / Physica  $A \blacksquare (\blacksquare\blacksquare) \blacksquare\blacksquare\blacksquare$ 

#### 1 3.3. Phase transitions

- 3 The fundamental diagram of our model and the phase transition from free flow to synchronized flow in homogeneous traffic are similar to that in Ref. [5]. In this section, we simulate synchronized flow and phase
- 5 separations in heterogeneous traffic where the system contains 80% cars and 20% trucks, i.e., R = 0.8. Five occupancy ranges are divided in Fig. 3. Occupancy  $k_1$  corresponds to the maximum occupancy where the
- 7 average velocity is nearly 17. Occupancy  $k_2$  corresponds to the maximum occupancy where the traffic reaches the maximum flux. Densities  $k_3$  and  $k_4$  correspond to an occupancy range where a phase separation occurs.
- 9 When occupancy is small  $(k < k_1)$ , space-time patterns of heterogeneous flow (see Fig. 4(b)) are quite different from the homogeneous flow (see Fig. 4(a)). In the homogeneous traffic flow, the flow is in a free-flow
- 11 phase. In the heterogeneous traffic flow, the flow has platoons due to the blockage of slow vehicles (Fig. 4 (b)). It can be seen that synchronized flow exists inside the platoons and free flow exists between platoons in Fig. 4
- 13 (b).
- When  $k_3 < k < k_4$ , a phase separation between synchronized flow and wide moving jams occurs. 15 Synchronized flow can be maintained for a period of time. The longest period of time found in our simulation is  $\Delta t = 5030$ . After flow in a synchronized flow phase, the system transits into wide moving jams



Fig. 3. Fundamental diagram and corresponding velocity-occupancy diagram of our model in a heterogeneous system at R = 0.8.





Please cite this article as: R. Wang, et al., Synchronized flow and phase separations in single-lane mixed traffic flow, Physica A (2006), doi:10.1016/j.physa.2006.11.056

7

### **ARTICLE IN PRESS**

R. Wang et al. / Physica A I (IIII) III-III

- 1 due to random disturbance (see Fig. 5(a)). The phenomenon has been found both in homogeneous and heterogeneous flow. We also find that the periods of synchronized flow maintained are different depending on
- 3 the initial conditions of the system in heterogeneous flow. For instance, the initial scattering of the vehicles in the experiments shown in Fig. 5(a) and (b) was different (because the random numbers generated in each
- 5 experiment are different), the periods of synchronized flow maintained are thus different. The simulation results also show that the average value of  $\Delta t$  decreases with the increase of occupancy in this occupancy range
- 7 (k<sub>3</sub><k<k<sub>4</sub>). According to our simulation, we also find that the period of time Δt in homogeneous flow (i.e., pure cars or trucks) is stable and not very sensitive to random initial conditions, which is different from
  9 heterogeneous flow mentioned above. Moreover, the period of time Δt varies with type of vehicles. In Fig. 5(c), when R = 1 (all cars), the flow evolves into jams from synchronized flow after 4290 min. Whereas R = 0 (all
- 11 trucks), the flow keeps synchronized flow throughout our simulation (100 h).



Fig. 5. One-minute average velocity of traffic flow obtained at a virtual detector. The occupancy is k = 0.5: (a) and (b) the proportion of cars R = 0.8; (c) the proportion of cars R = 1; and (d) the proportion of cars R = 0.



Fig. 6. Space-time plots under different proportion of vehicles. The occupancy is k = 0.5: (a) R = 1; (b) R = 0.8; and (c) R = 0.

Please cite this article as: R. Wang, et al., Synchronized flow and phase separations in single-lane mixed traffic flow, Physica A (2006), doi:10.1016/j.physa.2006.11.056

8

33

## **ARTICLE IN PRESS**

#### R. Wang et al. / Physica $A \blacksquare (\blacksquare\blacksquare) \blacksquare\blacksquare=\blacksquare\blacksquare$

1 We also examine the outflow from jams. To this end, we set the initial condition as a mega jam. The outflow from jams in our simulation is coexistence of free flow and light synchronized flow in this occupancy range  $(k_3 < k < k_4)$  for homogeneous and heterogeneous flow (see Fig. 6) when the initial condition starts from a 3 mega jam. This agrees with the Jiang-Wu model [5] and the empirical observations in Ref. [24].

#### 5

#### 4. Conclusions 7

In this paper, we have modified the acceleration rules in the Jiang–Wu model [5] to correct a defect in the 9 model. The cross-correlation analysis has shown that our model agrees better with the cross-correlation analysis of the empirical single-vehicle data in Ref. [33]. 11

We have applied our model to investigate synchronized flow and phase separations in single-lane mixed traffic flow. Our simulation results have shown the following two important features: (i) the flux-occupancy 13 curve of heterogeneous flow, as expected, lies in between two flux-occupancy curves of homogeneous flow

- R = 0 (all are slow vehicles) and R = 1 (all are fast vehicles). However, unexpectedly, the velocity-occupancy 15 curve of heterogeneous flow does not. (ii) cross-correlation function (CCF) analysis shows that heterogeneous
- flow has almost the same strong coupling as homogeneous flow. In other words, when traffic is in free flow 17 (e.g., k = 0.06), the value of CCF is about 1, while it is about 0.1 in synchronized flow (e.g., k = 0.5).
- Our simulation results have also confirmed some previous perceptions and findings: (i) when the occupancy 19 is low (< 0.16) slow vehicles dominate traffic flow even when their number is small, which is consistent with the empirical observations and qualitative analysis described in Refs. [16,17,23]; (ii) there is synchronized flow
- 21 inside platoons and free flow outside platoons in heterogeneous traffic flow at low occupancy; (iii) the period of time  $\Delta t$  to evolve into jams from synchronized flow varies with random initial conditions in heterogeneous
- 23 flow, however, it is relatively stable in homogeneous flow compared to heterogeneous flow; and (iv) free flow and light synchronized flow coexist in the outflow from jams in both homogeneous and heterogeneous flow
- 25 when starting from a mega jam. This agrees with the simulation in the Jiang–Wu model [5] and empirical observations described in Ref. [24]. 27

In future work, we will extend the current work to investigate mixed traffic flow on single-lane highways with passing lanes. Passing lanes can alleviate the influence of slow vehicles in a certain degree, which have 29 been widely used in many countries.

#### 31

#### Acknowledgments

33

R. Wang acknowledges the support of the ASIA:NZ Foundation Higher Education Exchange Program (2005), Massey Research Fund (2005), and International Visitor Research Fund (2006). R. Jiang and Q.S. Wu 35 acknowledge the support of National Basic Research Program of China (2006CB705500), the National Natural Science Foundation of China (NNSFC) under Key Project No. 10532060, Project Nos. 10404025 and 37

10672160, and the CAS special foundation. We are grateful to Denise Newth for proofreading this manuscript. 39

41

#### References

- 43
  - [1] D. Chowdhury, L. Santen, A. Schadschneider, Phys. Rep. 329 (2000) 199.
- [2] D. Helbing, Rev. Mod. Phys. 73 (2001) 1067. 45
  - [3] K. Nagel, M. Schreckenberg, J. Phys. I 2 (1992) 2221.
  - [4] M. Fukui, Y. Ishibashi, J. Phys. Soc. Jpn. 65 (1996) 1868.
- 47 [5] R. Jiang, Q.S. Wu, Eur. Phys. J. B 46 (2005) 581.
- [6] R. Barlovic, L. Santen, A. Schadschneider, M. Schreckenberg, Eur. Phys. J. B 5 (1998) 793.
- [7] W. Knospe, L. Santen, A. Schadschneider, M. Schreckenberg, J. Phys. A 33 (2000) 477. 49
- [8] X.B. Li, Q.S. Wu, R. Jiang, Phys. Rev. E 64 (2001) 066128.
- [9] M.E. Larraga, Transp. Res. C 13 (1) (2005) 63. 51
  - [10] D. Helbing, M. Schreckenberg, Phys. Rev. E 59 (1999) R2505. [11] B.S. Kerner, S.L. Klenov, D.E. Wolf, J. Phys. A 35 (2002) 9971.

Please cite this article as: R. Wang, et al., Synchronized flow and phase separations in single-lane mixed traffic flow, Physica A (2006), doi:10.1016/j.physa.2006.11.056

#### **ARTICLE IN PRESS**

#### 10

#### R. Wang et al. / Physica A I (IIII) III-III

- [12] R. Wang, H.J. Ruskin, Comp. Phys. Comm. 147 (2002) 570;
   R. Wang, H.J. Ruskin, Int. J. Mod. Phys. C 17 (3) (2006).
- 3 [13] J. Krug, J.A. Ferrari, J. Phys. A 29 (1996) 465.
- [14] M.R. Evans, J. Phys. A 30 (1997) 5669.
- [15] D.V. Ktitarev, D. Chowdhury, D.E. Wolf, J. Phys. A 30 (1996) L221.
- 5 [16] K. Nagel, et al., Phys. Rev. E 58 (1998) 1425.
- [17] W. Knospe, et al., Physica A 265 (1999) 614.
- 7 [18] M. Treiber, D. Helbing, J. Phys. A 32 (1999) L17.
- [19] Highway Capacity Manual, Transportation Research Board, National Research Council, Washington, DC, USA, 2000.
- 9 [20] H. Lenz, C.K. Wagner, R. Sollacher, Eur. Phys. J. B 71 (1999) 331.
- <sup>9</sup> [21] M. Treiber, A. Hennecke, D. Helbing, Phys. Rev. E 62 (2000) 1805.
- [22] D. Helbing, A. Hennecke, V. Shvetsov, M. Treiber, Mathematical and Computer Modelling 35 (2002) 517.
- 11 [23] H. Ez-Zahraouy, K. Jetto, A. Benyoussef, Eur. Phys. J. B 40 (2004) 111-117.
- [24] B.S. Kerner, H. Rehborn, Phys. Rev. Lett. 81 (1998) 3797;
- 13 B.S. Kerner, J. Phys. A 33 (2000) L221; B.S. Kerner, Phys. Rev. E 65 (2002) 046138.
- [25] H.K. Lee, et al., Phys. Rev. Lett. 92 (2004) 238702.
- 15 [26] D. Helbing, Phys. Rev. E 55 (1997) 3735.
- [27] H.Y. Lee, H.W. Lee, D. Kim, Phys. Rev. E 62 (2000) 4737.
- 17 [28] W. Knospe, et al., Phys. Rev. E 65 (2002) 015101.
- [29] B.S. Kerner, S.L. Klenov, J. Phys. A 37 (2004) 8753.
- 19 [30] P. Chakroborty, S. Kikuchi, Transp. Res. C 7 (4) (1999) 209.
- [31] E.R. Boer, Car following from the driver's perspective, Transp. Res. F 2 (1999) 201.
- [32] I.A. Lubashevsky, R. Mahnke, Phys. Rev. E 62 (2000) 6082.
- 21 [33] L. Neubert, et al., Phys. Rev. E 60 (1999) 6480–6490.
- [34] W. Knospe, et al., Phys. Rev. E 70 (2004) 016115.
- 23 [35] D.W. Huang, Phys. Rev. E 68 (2003) 046112.
- [36] H.J. Chen, Int. J. Mod. Phys. B 15 (2001) 3453.
- [37] H.Y. Lee, H.W. Lee, D. Kim, Phys. Rev. E 59 (1999) 5101;
   H.Y. Lee, H.W. Lee, D. Kim, Physica A 281 (2000) 78.
- [38] J. Treiterer, Final Report EES278, Transportation Research Center, Department of Civil Engineering, Ohio State University, Ohio,USA.